

Reverse Engineering of a Secret AES-like Cipher by Ineffective Fault Analysis

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Outline

- 1 Introduction
 - Advanced Encryption Standard
 - Ineffective Fault Analysis
- 2 Scope of the Attack
 - Modifications on AES
 - Constraints on Attacker
- 3 Attack Steps
- 4 Conclusion
 - Global Results
 - Future Works

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Ineffective Fault Analysis

Fault Model: Stuck at 0 a precise byte.

Fault effect:

- Ciphertext not modified \Rightarrow the value was already 0.
- Ciphertext modified \Rightarrow the value was not 0.

Remark:

- IFA by-pass dual-execution countermeasure.

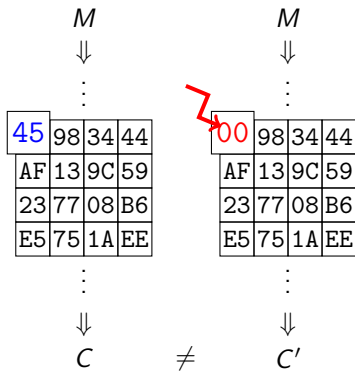


Figure: Example of no-occurrence of IFA.

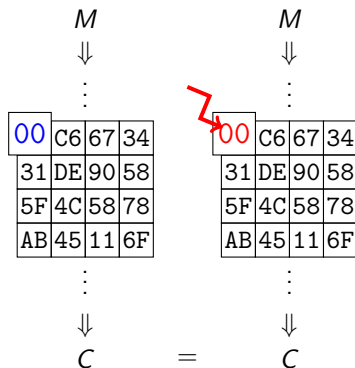


Figure: Example of occurrence of IFA.

Notations

m_i : Byte number i of the input plaintext M .

c_i : Byte number i of the output ciphertext C .

K_r : 128-bit Key of round number r .

$k_{r,i}$: Byte number i of the round key K_r .

$S()$: Function SubBytes.

$S^{-1}(0)$: Preimage of 0 value by S-Box table

$$\mu_i = k_{0,i} \oplus S^{-1}(0)$$

$X_r = \{x_{r,0}, \dots, x_{r,15}\}$: Input state of SubBytes step of round r

$Y_r = \{y_{r,0}, \dots, y_{r,15}\}$: Input state of ShiftRows step of round r

$Z_r = \{z_{r,0}, \dots, z_{r,15}\}$: Input state of MixColumns step of round r

$T_r = \{t_{r,0}, \dots, t_{r,15}\}$: Input state of AddRoundKey step of round r

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Modifications on AES

The modifications allowed have to respect the constraints from the NIST document describing the AES:

- 1 The SBOX operation is a permutation table.
⇒ 256! possible SBOX ($\simeq 2^{1684}$).
- 2 The ShiftRows operation keeps shifting rows.
⇒ 2^8 possible ShiftRows.
- 3 The MixColumns matrix stays circulant with four parameters ($\neq 0$).
⇒ 255^4 possible MixColumns ($\simeq 2^{32}$).
- 4 The RotWord operation keeps shifting word.
⇒ 2^2 possible RotWord.
- 5 The Rcon vectors keeps the form $[\rho^{r-1}, 0, 0, 0]$.
⇒ 2^8 possible sets of Rcon vectors.

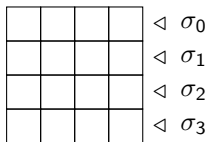


Figure: ShiftRows parameters.

$$\begin{bmatrix} \alpha_0 & \alpha_1 & \alpha_2 & \alpha_3 \\ \alpha_3 & \alpha_0 & \alpha_1 & \alpha_2 \\ \alpha_2 & \alpha_3 & \alpha_0 & \alpha_1 \\ \alpha_1 & \alpha_2 & \alpha_3 & \alpha_0 \end{bmatrix}$$

Figure: MixColumns matrix.

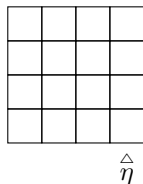


Figure: RotWord parameter.

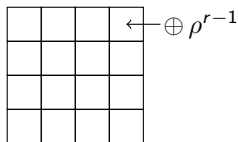


Figure: Rcon[r] parameter.

Constraints on Attacker

We placed main constraints on an attacker:

- 1 The SBOX table is unknown.
- 2 The MixColumns coefficients are unknown.
- 3 The ShiftRows coefficients are unknown.
- 4 The fault can only be applied on SBOX output.
- 5 The key K is unknown.

The Key-Schedule operation is also constrained:

- 1 RotWord coefficient is unknown.
- 2 Rcon parameter is unknown.
- 3 Unavailable to fault injection (e.g. pre-computation).

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Retrieving K_0 up to a Constant Byte

Retrieving K_0 up to a Constant Byte

We obtain $\mu_i = k_{0,i} \oplus S^{-1}(0)$ by exhausting m_i while faulting the output of i^{th} S-Box of first round.

Eventually an IFA occurs and we obtain the equation:

$$S(m_i \oplus k_{0,i}) = 0$$

$$m_i \oplus k_{0,i} = S^{-1}(0)$$

$$m_i = k_{0,i} \oplus S^{-1}(0)$$

$$m_i = \mu_i$$

We retrieve every μ_i values by applying this method on each position.

⇒ The set of candidates for K_0 is reduced from 2^{128} to 2^8 .

Lemma: "Choosing" S-Box Input

Lemma: "Choosing" S-Box Input

Lemma

The knowledge of μ_i values allows us to choose any value $x_{1,i}$ up to the constant value $S^{-1}(0)$.

Proof.

Playing value $m_i = v \oplus \mu_i$ implies that:

$$x_{1,i} = m_i \oplus k_{0,i}$$

$$x_{1,i} = v \oplus \mu_i \oplus k_{0,i}$$

$$x_{1,i} = v \oplus S^{-1}(0) \oplus k_{0,i} \oplus k_{0,i}$$

$$x_{1,i} = v \oplus S^{-1}(0)$$

Remark: if $v = 0$ it implies $x_{1,i} = S^{-1}(0) \Rightarrow y_{1,i} = 0$ □

Reversing ShiftRows Operation

Reversing ShiftRows Operation

Fault position: first S-Box of second round.

First step: Playing random messages until an IFA occurs.

Second step: Playing previous message with only one byte modified each time.

On each row 1 position will break the IFA when 3 will not.

We play the second step until we get the 4 values that break IFA, revealing the 4 ShiftRows parameters.

⇒ The ShiftRows operation is reversed.

Reversing ShiftRows Operation

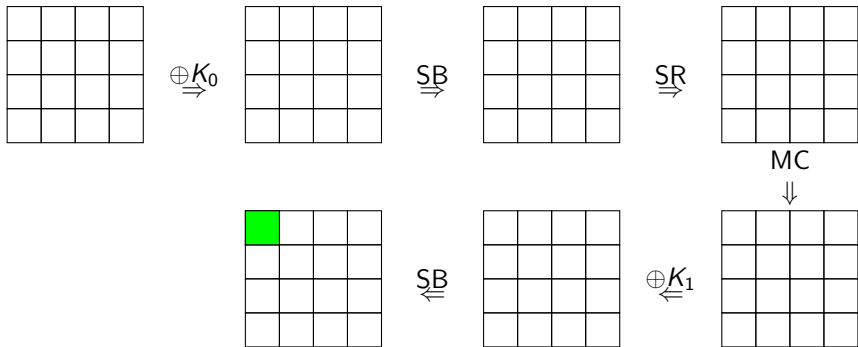


Figure: Position of IFA

Reversing ShiftRows Operation

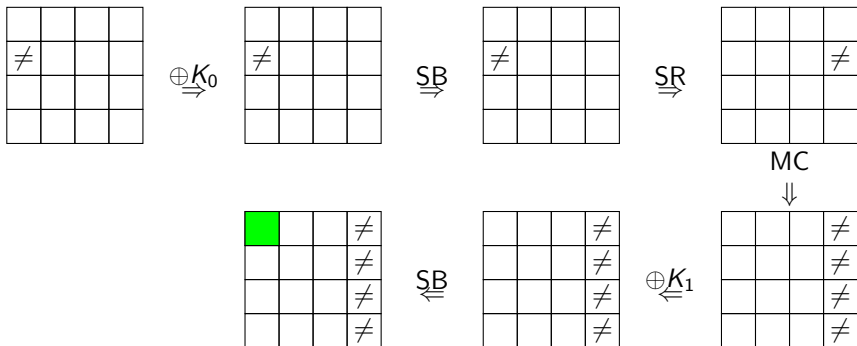


Figure: Proof: shift parameter of second row is not 0

Reversing ShiftRows Operation

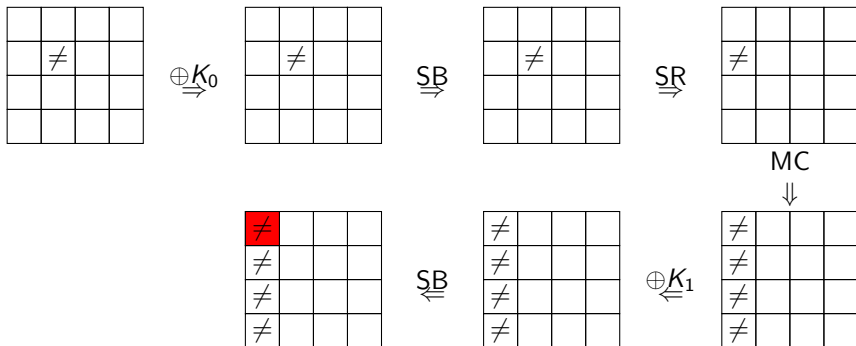


Figure: Proof: shift parameter of second row is 1

Reversing ShiftRows Operation

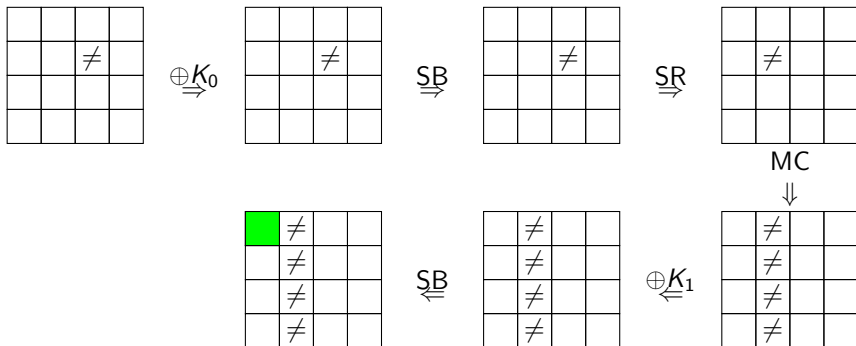


Figure: Proof: shift parameter of second row is not 2

Reversing ShiftRows Operation

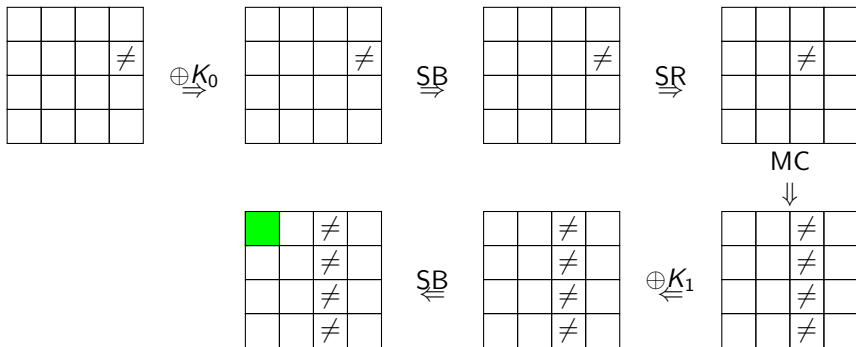


Figure: Proof: shift parameter of second row is not 3

Lemma: Retrieving $mk_{i,j}$ Values

Lemma: Retrieving $mk_{i,j}$ Values

Definition

$mk_{i,j}$ are particular values that verifies: $\alpha_j * S(mk_{i,j}) = k_{1,i} \oplus S^{-1}(0)$

Lemma

*The knowledge of μ_i values and *ShiftRows* parameters allows us to calculate any value $mk_{i,j}$ up to $S^{-1}(0)$.*

Proof.

We can play a full 0 state as input of first round MixColumns, except the position $t = \lfloor i/4 \rfloor + 4 * j$. This induces, with chosen v :

$$x_{2,i} = \alpha_j * S(v \oplus S^{-1}(0)) \oplus k_{1,i}$$

When v provokes an IFA on $y_{2,i}$: $v = mk_{i,j} \oplus S^{-1}(0)$



Reducing MixColumns by Retrieving Cycles Orders (1/3)

Reducing MixColumns by Retrieving Cycles Orders (1/3)

Goal : find multiplicative order of $\beta_{i,j} = \frac{\alpha_i}{\alpha_j}$.

Remark

We place ourselves in case where at least one of the 6 orders of values $\beta_{i,j}$ is equals to 255. It's concerning to 95.28% of cases.

Example : recovery of order of $\beta_{1,2}$.

Equation given by an IFA on first S-Box of second round :

$$\begin{cases} x_{2,0} &= \alpha_0 * z_{1,0} \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} \\ x_{2,0} &= S^{-1}(0) \end{cases}$$

$$\Rightarrow \alpha_0 * z_{1,0} \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} = S^{-1}(0)$$

Reducing MixColumns by Retrieving Cycles Orders (2/3)

Knowledge of $mk_{0,0}$ allows to play a plaintext byte value inducing :

$$z_{1,0} = S(mk_{0,0}) \Rightarrow \alpha_0 * z_{1,0} = k_{1,0} \oplus S^{-1}(0)$$

That clean K_1 and $S^{-1}(0)$ from previous equation :

$$\alpha_0 * z_{1,0} \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} = S^{-1}(0)$$

$$k_{1,0} \oplus S^{-1}(0) \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} = S^{-1}(0)$$

$$\alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} = 0$$

Knowledge of μ_i values allows to play a plaintext byte value inducing :

$$z_{1,3} = S(S^{-1}(0)) = 0 \Rightarrow \alpha_3 * z_{1,3} = 0$$

That clean α_3 from previous equation :

$$\alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} = 0$$

$$\alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} = 0$$

Reducing MixColumns by Retrieving Cycles Orders (3/3)

We use a random value $\theta_{1,2}^{(0)}$:

$$z_{1,1} = \tau_{1,2}^{(0)} = S(\theta_{1,2}^{(0)} \oplus k_{0,0})$$

We exhaust $z_{1,2}$ until an IFA occurs revealing the value $\theta_{1,2}^{(1)}$ such as:

$$z_{1,2} = \tau_{1,2}^{(1)} = S(\theta_{1,2}^{(1)} \oplus k_{0,0})$$

We then reveal the sequence of $\theta_{1,2}^{(k)}$ that verifies :

$$\alpha_1 * \tau_{1,2}^{(k)} \oplus \alpha_2 * \tau_{1,2}^{(k+1)} = 0$$

$$\tau_{1,2}^{(k+1)} = \beta_{1,2} * \tau_{1,2}^{(k)}$$

$$\Rightarrow \tau_{1,2}^{(k)} = (\beta_{1,2})^k * \tau_{1,2}^{(0)}$$

Eventually $\tau_{1,2}^{(n)} = \tau_{1,2}^{(0)}$ revealing that $(\beta_{1,2})^n = 1$. $n_{1,2} = n$, order of $\beta_{1,2}$.

Exploiting Data from Orders Retrieval

For each candidate for $\{\alpha_0, \alpha_1, \alpha_2, \alpha_3\}$ we are now able to test order of every $\beta_{i,j}$ and drop the solutions that do not verify found orders $n_{i,j}$.

We imposed that at least one order is equals to 255, it induces that during orders recovery we produced a sequence of 255 values $\{\theta_{i,j}^{(0)}, \dots, \theta_{i,j}^{(255)}\}$. That particular sequence will be set as reference for further steps and noted $\{\theta^{(0)}, \dots, \theta^{(255)}\}$. The concerned $\beta_{i,j}$ will also be noted β .

Then we know that:

$$\tau^{(i)} = S(\theta^{(i)} \oplus k_{0,0})$$

$$\tau^{(i)} = \beta * \tau^{(i-1)}$$

Lemma: Relation K_1-K_0

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This reduction of MixColumns candidates will use particular properties brought by KeySchedule scheme:

Lemma

For $i \in \{0, 4, 1, 5, 2, 6, 3, 7\}$, we have $k_{1,i} \oplus k_{1,i+8} = \mu_{i+4} \oplus \mu_{i+8}$.

Proof.

$$\left. \begin{array}{l} k_{1,i+4} = k_{1,i} \oplus k_{0,i+4} \\ k_{1,i+8} = k_{1,i+4} \oplus k_{0,i+8} \end{array} \right\} \Rightarrow k_{1,i} \oplus k_{1,i+8} = k_{0,i+4} \oplus k_{0,i+8} = \mu_{i+4} \oplus \mu_{i+8}$$



Reducing MixColumns Using K_1 Relations(1/2)

Reducing MixColumns Using K_1 Relations(1/2)

We will force the K_0 - K_1 relation to appear in IFA equations. As in previous step we use $mk_{0,0}$ knowledge to clean K_1 and $S^{-1}(0)$:

$$\alpha_0 * z_{1,0} \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} = S^{-1}(0)$$

$$k_{1,0} \oplus S^{-1}(0) \oplus \alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} \oplus k_{1,0} = S^{-1}(0)$$

$$\alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} = 0$$

Then we use knowledge of $mk_{1,i}$ and $mk_{2,i+8}$ to have $z_{1,1} = S(mk_{1,i})$ and $z_{1,2} = S(mk_{2,i+8})$:

$$\alpha_1 * z_{1,1} \oplus \alpha_2 * z_{1,2} \oplus \alpha_3 * z_{1,3} = 0$$

$$k_{1,i} \oplus S^{-1}(0) \oplus k_{1,i+8} \oplus S^{-1}(0) \oplus \alpha_3 * z_{1,3} = 0$$

$$k_{1,i} \oplus k_{1,i+8} \oplus \alpha_3 * z_{1,3} = 0$$

$$\mu_{i+4} \oplus \mu_{i+8} \oplus \alpha_3 * z_{1,3} = 0$$

Then we exhaust value for $z_{1,3}$ until we got an IFA.

Reducing MixColumns Using K_1 Relations(2/2)

We recognise the message byte inducing the colliding $z_{1,3}$ as a $\theta^{(p)}$ value, then we know that $z_{1,3} = \tau^{(p)}$:

$$\begin{aligned}\mu_{i+4} \oplus \mu_{i+8} \oplus \alpha_3 * \tau^{(p)} &= 0 \\ \mu_{i+4} \oplus \mu_{i+8} \oplus \alpha_3 * \beta^p * \tau^{(0)} &= 0 \\ \tau^{(0)} &= \frac{\mu_{i+4} \oplus \mu_{i+8}}{\alpha_3 * \beta^p}\end{aligned}$$

That type of relations constraint MixColumns parameters.

Lemma

Two equations of previous step allows to reduce the set of candidates for MixColumns parameters to 255 elements.

Retrieving MixColumns and RotWord parameters

Retrieving MixColumns and RotWord parameters

In this step we use two types of equations combined:

$$\begin{cases} k_{1,0} = k_{0,0} \oplus S(k_{0,12+\eta}) \oplus \rho^0 \\ k_{1,0} = \alpha_j * S(mk_{0,j} \oplus k_{0,0}) \oplus S^{-1}(0) \end{cases}$$

$$\Rightarrow S(k_{0,12+\eta}) = k_{0,0} \oplus S^{-1}(0) \oplus 1 \oplus \alpha_j * S(mk_{0,j} \oplus k_{0,0})$$

$$\Rightarrow S(k_{0,12+\eta}) = \mu_0 \oplus 1 \oplus \alpha_j * S(\theta^{(q_1)} \oplus k_{0,0})$$

$$\Rightarrow S(k_{0,12+\eta}) = \mu_0 \oplus 1 \oplus \alpha_j * \tau^{(q_1)}$$

For each MixColumns parameter candidate we are able to calculate $S(k_{0,12+\eta})$ and recognise it as a known $\tau^{(q_2)}$ value:

$$\Rightarrow S(k_{0,12+\eta}) = \tau^{(q_2)} = S(\theta^{(q_2)} \oplus k_{0,0})$$

$$\Rightarrow k_{0,12+\eta} = \theta^{(q_2)} \oplus k_{0,0}$$

$$\Rightarrow \theta^{(q_2)} = \mu_0 \oplus \mu_{12+\eta}$$

Then we got only 4 valid solutions, a second equation let only 1.

Retrieving $S^{-1}(0)$

Retrieving $S^{-1}(0)$

We are now able to calculate $k_{1,4}$, due to equations from KeySchedule:

$$\begin{cases} k_{1,0} = k_{0,0} \oplus \tau^{(q_2)} \oplus 1 \\ k_{1,4} = k_{1,0} \oplus k_{0,4} \end{cases}$$

$$\Rightarrow k_{1,4} = k_{0,0} \oplus \tau^{(q_2)} \oplus 1 \oplus k_{0,4}$$

$$\Rightarrow k_{1,4} = \mu_0 \oplus S^{-1}(0) \oplus \tau^{(q_2)} \oplus 1 \oplus \mu_4 \oplus S^{-1}(0)$$

$$\Rightarrow k_{1,4} = \tau^{(q_2)} \oplus 1 \oplus \mu_0 \oplus \mu_4$$

We then use $k_{1,4}$ to derive $S^{-1}(0)$ from a $mk_{i,j}$ equation:

$$k_{1,4} = \alpha_j * S(mk_{4,j} \oplus k_{0,0}) \oplus S^{-1}(0)$$

$$S^{-1}(0) = \alpha_j * S(\theta^{(q_3)} \oplus k_{0,0}) \oplus k_{1,4}$$

$$S^{-1}(0) = \alpha_j * \tau^{(q_3)} \oplus k_{1,4}$$

Remark

We are now able to infer the values of: S-Box, K_0 and K_1 .

Retrieving Rcon parameter

Retrieving Rcon parameter

We know all AES parameters except ρ , that allows to control T_2 state.
We exhaust $t_{2,0}$ values until an IFA occurs on first S-Box of third round:

$$y_{3,0} = 0$$

$$S(x_{3,0}) = 0$$

$$S(t_{2,0} \oplus k_{2,0}) = 0$$

$$k_{2,0} = t_{2,0} \oplus S^{-1}(0)$$

We learn $k_{2,0}$ and then we can simply calculate ρ :

$$k_{2,0} = k_{1,0} \oplus S(k_{1,12+\eta}) \oplus \rho$$

$$\rho = k_{1,0} \oplus S(k_{1,12+\eta}) \oplus k_{2,0}$$

Simulations Results

Simulations Results

Step	# of faults
Retrieving μ_i values	2055.96
Retrieving ShiftRows	138.50
Retrieving $\beta_{i,j}$ orders	22339.80
Retrieving cross-orders relations	0
Retrieving K_1 relations	915.77
Retrieving MixColumns and RotWord	64.30
Retrieving $S^{-1}(0)$	0
Retrieving Rcon	127.5
Total	25641.83

Figure: Experimental results on an unprotected implementation.

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Global Results

We by-pass the dual-execution countermeasure.

In 95.28% of cases we retrieve the whole algorithm specifications in an average of $\sim 25k$ required fault number.

With reasonable over-costs, we are able to extend our attack to two harder configurations:

- 1 Full entropy MixColumns matrix: MixColumns matrix is no more circulant and is composed of 16 independent parameters. This new attack is valid in 99.99% of cases (instead of 95.28%).
- 2 Extended Rcon parameters: Rcon is no more dependant from an unique value ρ but each round have it's own independent value.

Future Works

- Search tricks in order to reduce fault number.
- Extend attack to 5% remaining cases.
- Adapt attack when fault is done on exclusive-or (\oplus) operations instead of table lookup.
- Study adaptability of this attack in presence of different type of counter-measures.
- Study how the knowledge of the key facilitates the attack (a decryption function available on the device give ability to find the key).

Questions

**Thank you for your attention.
Any Question ?**

Proof: Only 255 MixColumns Candidates Remains

Proof.

$$\begin{aligned}
 \left. \begin{aligned}
 \tau^{(0)} &= \frac{\mu_{1,i+4} \oplus \mu_{1,i+8}}{\alpha_3 * \beta^{p_1}} \\
 \tau^{(0)} &= \frac{\mu_{1,i+8} \oplus \mu_{1,i+12}}{\alpha_3 * \beta^{p_2}}
 \end{aligned} \right\} \Rightarrow \beta^{p_1 - p_2} = \frac{\mu_{1,i+4} \oplus \mu_{1,i+8}}{\mu_{1,i+8} \oplus \mu_{1,i+12}} \\
 \Rightarrow \left(\frac{\alpha_{j^*}}{\alpha_{j^*}} \right)^{p_1 - p_2} &= \frac{\mu_{1,i+4} \oplus \mu_{1,i+8}}{\mu_{1,i+8} \oplus \mu_{1,i+12}} \\
 \Rightarrow \alpha_{i^*}^{p_1 - p_2} &= \frac{\mu_{1,i+4} \oplus \mu_{1,i+8}}{\mu_{1,i+8} \oplus \mu_{1,i+12}} * \alpha_{j^*}^{p_1 - p_2}
 \end{aligned}$$

It remains 255 valid pairs $(\alpha_{i^*}, \alpha_{j^*})$. Already acquired relations extend this property to other MixColumns parameters. □

Remark

For each of 255 candidates for MixColumns parameters we are able to calculate $\tau^{(0)}$ and β , then the whole sequence $(\tau^{(k)})_{k=0, \dots, 254}$.

Simulation's Oracle

We ran simulations using an oracle taking as input:

- the parameters of the modified AES
- the round and S-Box position that is considered as faulted
- the message we decide to play

it gives back a boolean value indicating if the fault was ineffective or not.